

Docket No. 278178US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Marco BERGEMANN, et al.

SERIAL NO: 10/550,910

GAU:

FILED: September 28, 2005

EXAMINER:

FOR: POLYALKENE AMINES WITH IMPROVED APPLICATIONAL PROPERTIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

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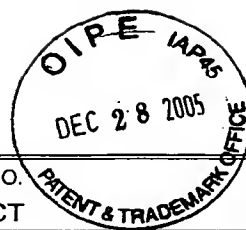
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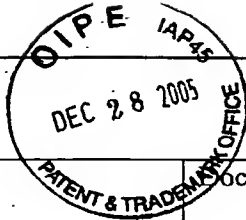
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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------------------|----------|--------------------------------------------------------------------------|----------------------------|------------------------------------------------------------------|-------------------------------|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 278178US0PCT | | SERIAL NO. 10/550,910 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Marco BERGEMANN, et al. | | | |
| | | | | FILING DATE September 28, 2005 | | GROUP 1797 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| /M.P./ | AA | 4 877 416 | 10/31/89 | Curtis B. CAMPBELL | | | |
| /M.P./ | AB | 4 849 572 | 07/18/89 | Frank J. CHEN et al. | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| /M.P./ | AC | 00/47698 | 08/17/00 | WO(equivalent of US 2005/44779) | | | NO |
| | AD | 01/85874 | 11/15/01 | WO(equivalent of US 6840970, & US 2003/140552) | | | NO |
| | AE | 97/23586 | 07/03/97 | WO(equivalent of US 6099602) | | | NO |
| | AF | 224 616 | 11/11/87 | EP(equivalent of US 4832702) | | | NO |
| | AG | 277 345 | 08/10/88 | EP(equivalent of US 4859210) | | | NO |
| | AH | 94/24231 | 10/27/94 | WO(equivalent of US 5746786) | | | NO |
| | AI | 97/03946 | 02/06/97 | WO(equivalent of US 6069281) | | | NO |
| | AJ | 196 20 262 | 11/27/97 | DE(with English abstract, and equivalent of US 6140541, & US 6909018) | | | NO |
| | AK | 96/03367 | 02/08/96 | WO(equivalent of US 5879420, US 5972856, & US 6005144) | | | NO |
| | AL | 96/03479 | 02/08/96 | WO(equivalent of US 5980594) | | | NO |
| | AM | 476 485 | 03/25/92 | EP(equivalent of US 6371999) | | | NO |
| | AN | 307 815 | 03/22/89 | EP(equivalent of US 4959077) | | | NO |
| | AO | 87/01126 | 02/26/87 | WO | | | NO |
| | AP | 639 632 | 02/22/95 | EP(with English abstract) | | | NO |
| | AQ | 310 875 | 04/12/89 | EP(with English abstract) | | | NO |
| | AR | 356 725 | 03/07/90 | EP(with English abstract) | | | NO |
| | AS | 700 985 | 03/13/96 | EP(equivalent of US 5660601) | | | NO |
| | AT | 38 38 918 | 05/23/90 | DE(equivalent of US 5004478) | | | NO |
| | AU | 831 141 | 03/25/98 | EP | | | NO |
| | AV | 38 26 608 | 02/08/90 | DE(equivalent of US 5112364) | | | NO |
| | AW | 41 42 241 | 06/24/93 | DE(equivalent of EP 548617, & US 5298039) | | | NO |
| | AX | 43 09 074 | 09/22/94 | DE(equivalent of US 6267791, & US 2002/29512) | | | NO |
| | AY | 452 328 | 10/23/91 | EP | | | NO |
| | AZ | 101 02 913 | 07/25/02 | DE(equivalent of US 2004/77507) | | | NO |
| | AAA | 27 02 604 | 07/27/78 | DE(equivalent of US 4152499) | | | NO |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| /M.P./ | AAB | M. ROSSENBECK, "Catalysts, surfactants, mineral oil additives, G. THIEME VERLAG, pages 223-229 1978 | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner /Ming Cheung Po/ | | | | | Date Considered 11/03/2008 | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



U.S. PCT Application Serial No.: 10/550,910
Docket No.: 278178US0PCT

STATEMENT OF RELEVANCY

- 1) References AC-AF have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AA-AB, & AG-AAB are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.



| | | |
|---------------------------------------------------------------|-----------------------------------|------------------------|
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Docket No.: 278178US0PCT | Serial No.: 10/550,910 |
| | Inventor: Marco BERGEMANN, et al. | |
| | Filing Date: September 28, 2005 | Group: 1797 |

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-----------------------------|-------------------|----------------------------------------|---------------------------------|----------------------------------------|----------------------------------|
| /M.P./ | 278349US0PCT | 10/551,239 | 09/28/05 | | SCHWAHN, et al. |
| /M.P./ | 278178US0PCT* | 10/550,910 | 09/28/05 | | BERGEMANN, et al. |

Examiner /Ming Cheung Po/

Date Considered 11/03/2008

*Present Application; listed for information
NFO/amr